



GAMMA ASSET RE-REGISTRATION REQUEST FORM
(A separate form must be completed for each new registration)

Account Holder: _____ GAMMA Account #: _____

Address: _____ Social Security #: _____

_____ Daytime Phone #: _____

E-Mail Address: _____

LIST THE ASSET(S) THAT ARE BEING RE-REGISTERED: (If you do not specify a dollar amount, the **entire bond** will be transferred. If you need more space, provide the information on a separate sheet.)

Name of Issue	Trust Number	Bond Number	Dollar Amount
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

RE-REGISTRATION INSTRUCTIONS:

Account You Want the Asset(s) Transferred To: (Check only one account type.)

A different GAMMA account
(The GAMMA that the asset(s) are being transferred into must already be established or an Account Agreement for the new account must accompany this form.)

Held directly by Investor
(i.e. Individual, JTWR0S, UGMA/UTMA)

New Registration Information:

1. Individual

First Name

Initial

Last Name

____-____-____

Social Security Number

2. Joint Registrant – If joint registrant is desired, name joint owner here check appropriate box. Joint accounts are registered as “joint tenants with rights of survivorship” unless you indicate otherwise.

JTWROS **TEN COM** **T.O.D.** (Transfer on Death)

 ——
 First Name Initial Last Name Secondary Owner’s Social Security Number

3. UGMA – Uniform Gift to Minor Account under the Uniform Gifts to Minors Act.
 (Use line 3 only) State

As Custodian For
 Custodian’s Name (only one permitted) Minor’s Name (only one permitted)

——
 Minor’s Birthdate (MM-DD-YYYY)

——
 Minor’s Social Security Number

4. Corporation, Partnerships, Trusts and Others
 (Use line 4 only)

—
 Tax ID Number

Name of Corporation or other entity. If a trust, include date of trust. Provide documentation that authorizes the person(s) to sign on behalf of the Trust or Entity.

ADDRESS INFORMATION: (Only needed if transferring asset(s) into the name of the Investor(s) directly.)

Street Address

City

State

—
 Zip Code

Zip Code

ADDITIONAL RE-REGISTRATION REQUIREMENTS (as needed):

INDIVIDUAL OWNERSHIP/JOINT OWNERS WITH RIGHT OF SURVIVORSHIP (JTWROS)/TENANTS IN COMMON –

- No additional items are needed.

CORPORATION, INDIVIDUAL TRUST or CUSTODIAL ACCOUNT –

- Corporate Resolution if Trustee or Custodian is a Corporation.
- Copy of document naming the trustee or custodian [Trust Document - 1st page reflecting name of trust, page naming the trustee or successor trustee and signature page (s)].
- If the current trustee, or custodian, was court appointed, a copy of the court approved document naming the successor trustee, or custodian, must be provided.

TRANSFER ON DEATH OF ONE JOINT OWNER [JTWROS] OR TENANT IN COMMON TO THE REMAINING OWNER(S) OR TO NEW OWNER(S)-

- Original or Certified Copy* of Death Certificate
- Affidavit of Residence or Affidavit of Domicile, with signature notarized.

TRANSFER ON DEATH OF OWNER (S) TO A “TOD” BENEFICIARY-

- Original or Certified Copy* of Death Certificate
- Affidavit of Residence or Affidavit of Domicile, with signature notarized

TRANSFER ON DEATH OF OWNER(S) WITH NO BENEFICIARY IN REGISTRATION-

- Original or Certified Copy* of Death Certificate
- Affidavit of Residence or Affidavit of Domicile, with signature notarized
- Certified copy of Letters Testamentary (or Letters of Administration) with court seal (dated within 60 days of transfer request) OR Small Estate Affidavit (dated more than 30 days after death) [if allowed by the state in which the deceased owner lived] showing appointment of Executor, etc.

SIGNATURE OF ALL CURRENT OWNERS:

Do not sign below until you are in the presence of the authorized officer providing the signature guarantee.

Signature (Individual, custodian, trustee, authorized signor or legal representative)

Date (month, day, year)

Signature (Joint owner, co-trustee, authorized signor or legal representative)

Date (month, day, year)

SIGNATURE GUARANTEE: (required for all owners named on the account)

- If an owner is deceased, a signature guarantee is required by the following:
 - Joint account – all remaining owners
 - TOD account – beneficiary named on the account
 - Individual account with no beneficiary named – Executor of the estate
- If an owner is incapacitated, his or her legal representative must obtain the signature guarantee in the place of that owner. A copy of the Power of Attorney is required.
- If an owner is a minor, the custodian named on the account must obtain the signature guarantee.
- A signature guarantee can be obtained from an authorized member of a bank, broker, or other eligible financial institution. A notary public **cannot** provide a signature guarantee.

Signature of Guarantor

Title / Name of Institution

Date (month, day, year)

Authorized Officer to Place Stamp Here