



Please provide the following documentation and answer the questions below for GoldStar Trust Company ("GoldStar") to consider to custody the asset within an IRA. GoldStar will review the documentation to determine if the asset is administratively feasible and can be properly serviced by GoldStar.

**PART 1. ASSET INFORMATION**

Asset Name (specify exact name) \_\_\_\_\_

Asset Sponsor/Issuer \_\_\_\_\_

Authorized Asset Sponsor/Issuer Representative \_\_\_\_\_

Title \_\_\_\_\_ Email Address \_\_\_\_\_

Phone Number \_\_\_\_\_ Website \_\_\_\_\_

Primary Business Address \_\_\_\_\_

Is the asset equity/debt/other:  Equity  Debt  Other \_\_\_\_\_

Type of Asset (check one)  Limited Liability Company (LLC)  Limited Partnership (LP)  REIT  
 C-Corp  Promissory Note  Crowdfunding Equity  
 Crowdfunding Debt  Other: \_\_\_\_\_

**PART 2. ASSET DOCUMENTATION**

GoldStar requires the following documentation in order to determine the administrative feasibility of holding the asset in custody.

**DOCUMENTATION NEEDED FOR:** (please provide all applicable forms listed below)

Equity Investments (LLCs, LP, Privately Offered Stock, etc.)	Debt Investments (Promissory Notes, Debentures, etc.)
<input type="checkbox"/> Operating Agreement/Limited Partnership Agreement/Bylaws	<input type="checkbox"/> Operating Agreement/Limited Partnership Agreement/Bylaws
<input type="checkbox"/> Private Placement Memorandum/Offering Memorandum with all Exhibits and Addendums	<input type="checkbox"/> Private Placement Memorandum/Offering Memorandum with all Exhibits and Addendums
<input type="checkbox"/> Blank Copy of Subscription Agreements	<input type="checkbox"/> Blank Copy of Subscription Agreements
<input type="checkbox"/> Delivery Instructions for Purchase (wire, ACH, mail)	<input type="checkbox"/> Delivery Instructions for Purchase (wire, ACH, mail)
<input type="checkbox"/> EIN or W9	<input type="checkbox"/> EIN or W9
<input type="checkbox"/> Form D (if applicable)	<input type="checkbox"/> Form D (if applicable)
	<input type="checkbox"/> Blank Copy of Proposed Note with terms (if applicable)

**PART 3. FAIR MARKET VALUATION - EQUITY ASSETS ONLY**

**Please initial to acknowledge your understanding:**

\_\_\_\_ Asset Sponsor/Issuer understands that, as an IRA custodian, GoldStar must report the fair market value of each account to the Internal Revenue Service each year. Asset Sponsor/Issuer hereby agrees and acknowledges that it will provide, upon request, an annual fair market value or good faith estimate of the named Asset to GoldStar as of year-end. The fair market value or good-faith estimate should be compliant with Revenue Ruling 59-60 (as applicable), or if the Asset is a trading fund (private equity fund, hedge fund, etc.), Asset Sponsor/Issuer agrees to provide the capital account balance on an annual or more frequent basis.

\_\_\_\_ Asset Sponsor/Issuer will need to provide GoldStar with an updated fair market value or good-faith estimate of the Asset listed on this form at least annually. If no valuation is received, GoldStar may resign as custodian which may result in a tax reportable distribution of the Asset to investor.

\_\_\_\_ It is not acceptable to continue to value the asset at par, or the offering price, year after year.



**PART 4. WHO WILL BE PROVIDING THE ANNUAL VALUATION?**

- Asset Sponsor/Issuer (Entity Offering the Investment)  
*(ex. CEO, CFO, General Partner, etc.)*
- Independent Third Party Appraiser/Valuator
- Independent Third Party CPA
- Third Party Administrator

Please provide the contact information of the person at the Asset Sponsor/Issuer or the Third Party (Appraiser/Valuator, CPA, or Administrator) that will provide valuations:

Name \_\_\_\_\_ Title \_\_\_\_\_  
 Firm Name \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Address \_\_\_\_\_  
 Email Address \_\_\_\_\_

**How will valuations be provided to GoldStar?**

- Statement
- Spreadsheet
- GoldStar to obtain from a 3rd party website  
*(please provide details)*
- Other  
*(please provide details)*

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**How often will a valuation be provided?**

- Annually
- Semi-Annually
- Quarterly
- Monthly
- Other \_\_\_\_\_

- Asset valuations are required at least annually.
- End-of-year valuations are needed no later than January 10th to be reflected on the IRA owners' statements for period ending 12/31. January 10th allows for sufficient processing and printing time to provide statements by January 31st.
- Statements and/or valuations should be delivered directly to GoldStar.
- Statements and/or valuations can be emailed to **alternativeinvestments@goldstartrust.com** or mailed to **GoldStar's PO Box**.

**PART 5. CURRENT VALUATION**

What is the current value of the asset?

Price per share/unit/etc. \_\_\_\_\_ As of date \_\_\_\_\_  
 Or  Capital Account Balance \_\_\_\_\_

**PART 6. COMPANY PRINCIPAL(S)**

Please provide the following information on all Principal(s) of the business:

Name \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Home Address \_\_\_\_\_  
 City/State/Zip \_\_\_\_\_ Email Address \_\_\_\_\_  
 -----  
 Name \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Home Address \_\_\_\_\_  
 City/State/Zip \_\_\_\_\_ Email Address \_\_\_\_\_

Additional identifying information may be requested as needed.





**PART 10. DISCLOSURES**

Please allow 1-2 weeks for the review process of the asset under consideration.

Submission of this form does not automatically guarantee asset acceptance by GoldStar.

Failure to submit any of the requested documents may delay the processing of your investment request.

GoldStar reserves the right of final determination concerning which assets we will accept within an IRA.

GoldStar may refuse to custody any specific asset or asset type at any point prior to or after an asset has been placed in custody.

GoldStar's custody of an asset or asset type does not imply and should not be construed as any recommendation or endorsement as to the prudence, merit or viability of the asset or asset type.

**PART 11. ASSET SPONSOR/ISSUER SIGNATURE**

As the Asset Sponsor/Issuer, I understand that supporting documentation regarding the valuation of the asset is required annually. I understand and acknowledge that if this documentation is not provided, GoldStar reserves the right to distribute the asset(s) in-kind, causing a taxable event and closing the account after 30 days of the date of the notice.

**X**

\_\_\_\_\_  
Signature of Authorized Representative of Asset Sponsor/Issuer

\_\_\_\_\_  
Date (mm/dd/yyyy)

**Submit this form by email to [sales@goldstartrust.com](mailto:sales@goldstartrust.com)**