



Please complete all information for your investment to avoid a delay in processing. This form contains important disclosures about your duties and responsibilities with regard to holding a publicly traded asset, precious metals, private placement or nonstandard asset within your self-directed Custodial Account. GoldStar Trust Company ("GoldStar" or "we") does not offer investment advice and does not buy or sell investment products. All publicly traded stock and mutual fund trade directions must be received by 12 PM CST, or 1 hour prior to market closing on shortened trading days, in order to be executed before close of market. All investment directions received after the cut-off time will be executed the next business day. Please refer to the Fee Schedule or call GoldStar for any applicable fees regarding this investment.

## PART 1. GOLDSTAR ACCOUNT OWNER

Name(s) \_\_\_\_\_ Account Number \_\_\_\_\_  
Email Address \_\_\_\_\_

## PART 2. INVESTMENT DIRECTION

Type of Investment (check one)

- ☐ Limited Liability Company (LLC)  
Limited Partnership (LP)
- ☐ Publicly Traded Stock  
(All security orders are placed at Market Orders.)
- ☐ Secured Promissory Note/Convertible Note/  
Corporate Debt Note/Debenture
- ☐ Mutual Fund (If this is your first mutual fund purchase through GoldStar, the process of establishing a fund account may take up to 10 business days. If you have previously invested in mutual funds, but are choosing a new fund to invest in, the process may take up to 5 business days.)
- ☐ Precious Metals: Dealer \_\_\_\_\_ Representative \_\_\_\_\_  
(Only one depository can be used to store metals per account. Once a depository is chosen, it will be the default for future transactions. If a depository is not indicated, the default depository will be Delaware Depository - Delaware. If a storage option is not indicated, commingled storage will be the default storage option.)
- ☐ Closely-Held Stock (C-Corp)
- ☐ Structured Settlement
- ☐ Other: \_\_\_\_\_  
(Non-Traded REIT, Loan or Extension Fund, etc.)

<b>Delaware Depository® - Delaware</b> (Depository Trust Company of Delaware, LLC)  <b>Location:</b> Wilmington, DE  <b>Segregated Storage</b> (All Gold, Platinum and Palladium products; and 1000 oz. Silver Bars)	<b>IDS of Delaware</b> (International Depository Services)  <b>Location:</b> New Castle, DE  <b>Segregated Storage</b> (All Gold, Silver, Platinum and Palladium)	<b>Texas Precious Metals Depository</b> (TPMD)  <b>Location:</b> Shiner, TX  <b>Segregated Storage</b> (All Gold, Silver, Platinum and Palladium)	<b>A-M Global Logistics, LLC</b> (AMGL)  <b>Location:</b> Las Vegas, NV  <b>Segregated Storage</b> (All Gold, Silver, Platinum and Palladium)
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Additional fees apply to the Segregated Storage option. Please call GoldStar for any applicable fees regarding either storage option for your precious metals investment. All fees, including those past due, must be paid before any applicable transactions will be processed.

## TRANSACTION ELECTION

Transaction Type: ☐ New Purchase ☐ Additional Purchase (Investment already held in account) ☐ Liquidate/Sell  
Current Asset Number \_\_\_\_\_

Investment Name (Specify exact name) \_\_\_\_\_

Amount \$ \_\_\_\_\_ or ☐ All Funds Available (If allowable by Asset Sponsor)

Ticker Symbol \_\_\_\_\_ Number of Shares/Units (Required for Publicly Traded Stocks) \_\_\_\_\_

Class of Shares/Units (If applicable) \_\_\_\_\_ Price per Share/Units \$ \_\_\_\_\_ Ownership Interest \_\_\_\_\_ %



**PART 3. PAYMENT INSTRUCTIONS FOR PURCHASERS** *(if applicable)*

*Incomplete payment instructions may delay the processing of your investment until such instructions are received.*

☐ Check if payment instructions are included in the Subscription Agreement

Investment Firm \_\_\_\_\_ Phone Number \_\_\_\_\_

Investment Firm Address \_\_\_\_\_

Bank Name \_\_\_\_\_ Phone Number \_\_\_\_\_

Bank Address *(physical required)* \_\_\_\_\_

Routing/ABA Number *(must be 9 digits)* \_\_\_\_\_ Bank Account Number \_\_\_\_\_

All assets should be registered as follows:

Tax ID: 88-1312583, GoldStar Trust Company Custodian, FBO: <Account Holder's Full Name>, 701 S. Taylor, LB 110, Amarillo, TX 79101

**PART 4. SIGNATURES**

By signing I/we hereby acknowledge that I have read and agree with the information provided on the following page in the acknowledgment section.

X \_\_\_\_\_  
Signature of Primary Account Holder Date *(mm/dd/yyyy)*

X \_\_\_\_\_  
Signature of Secondary Account Holder *(if applicable)* Date *(mm/dd/yyyy)*

**PART 5. ACKNOWLEDGMENTS**

I direct GoldStar Trust Company ("GoldStar") to execute the purchase of the investment stated on this form for the benefit of my self-directed non-qualified Custodial Account ("Account"). I hereby make the following acknowledgments, agreements, and representations:

- I understand that GoldStar is compensated through administrative fees and cash management fees.
- I understand the private placement investments, nonstandard assets, precious metals, publicly traded stocks, mutual funds, and any other asset potentially held in this account may involve a high degree of risk and GoldStar will make no investigation as to the viability or safety of the investment(s) you select.
- I understand that with the exception of cash invested in an FDIC insured bank account, investments held in my Account may lose value, are not FDIC insured, and are not guaranteed by GoldStar. In addition, the investment selected by the undersigned may lack liquidity; may be speculative and involved in a high degree of risk; and may result in a complete loss of the investment.
- I understand that neither GoldStar nor any employee or agent of GoldStar has selected or recommended any investment for me. I acknowledge that GoldStar does not offer any investment advice, nor does it endorse any investment, investment product or investment strategy; and GoldStar does not endorse any financial advisor, representative, broker, or other party involved with an investment selected by me. I acknowledge that I have sole responsibility for directing the investment of my account.
- I understand that if any other financial representative suggested that I retain the services of GoldStar as custodian for the Investment made through my Account, that such person is not in any way an agent, employee, representative, or affiliate of GoldStar. I acknowledge that GoldStar is not responsible for and is not bound by any representations, warranties, statements, or agreements made by any financial representative beyond the terms and provisions contained in my GoldStar Account Agreement and other GoldStar forms.
- I understand that neither GoldStar nor any employee or agent of GoldStar has acted as broker-dealer or salesperson in completing any purchase or sale of an investment of a security for me.
- I understand that if this Investment Direction and any accompanying documentation are not received as required, or, if received, are unclear in the opinion of GoldStar, or if there are insufficient undirected funds in my account to fully comply with my instructions to purchase the investment and to pay all fees, GoldStar may not process this transaction until proper documentation and/or clarification is received, and GoldStar will have no liability for loss of income or appreciation.
- The undersigned consents to GoldStar's fee schedule as in effect, as may be modified from time to time. I understand transactions with insufficient funds will not be processed until sufficient funds are received. If fees are being deducted from my account, the full amount of the transaction plus fees must be available before the transaction can be processed. I understand that GoldStar shall be under no obligation whatsoever to extend credit to my Account or otherwise disburse payment beyond the cash balance of my Account for any payment or assessment related to the Investment.



- I have read and reviewed each representation made within the subscription agreement and/or related documents, which I have signed on behalf of the investment referenced above. I specifically hold GoldStar harmless for any such representations regarding my personal financial information which may be considered a part of the subscription agreement that I am requesting GoldStar now sign as Custodian on behalf of my self-directed Account.
- I understand that GoldStar shall use reasonable efforts to acquire or sell investments in accordance with the directions of the undersigned within a reasonable period of time after GoldStar has received an Investment Direction and GoldStar shall make reasonable efforts to notify the undersigned if GoldStar is unable or unwilling to comply with an Investment Direction. GoldStar shall, subject to the foregoing, remit funds as directed, but has no responsibility to verify or assure that such funds have been invested to purchase or acquire the asset selected by me.

**Specific to Precious Metals:**

- I authorize GoldStar to proceed with my instructions directed on this form (purchase or sale of precious metals) according to the details provided by my Dealer and without further written or verbal authorization from me.
- I am solely responsible for the selection of my Dealer as well as negotiation of prices and terms. I acknowledge that GoldStar has made no representations or recommendations with regards to this Dealer. I have evaluated the risks involved with this transaction and have conducted the due diligence I felt appropriate on the Dealer I have selected.
- I acknowledge that by submitting this request, I will contact my Dealer and agree upon a purchase price/ selling price for my metals. The Dealer will submit an invoice, which will list all final prices, without further written or verbal authorization from me. I understand that Goldstar is not responsible for contacting the Dealer and establishing a purchase/sale price. I further understand that the Dealer listed on this form may contact GoldStar to receive information regarding this investment and current holdings to complete the purchase/sale.
- I am solely responsible for reading my Account statement and confirming that precious metals quantities and prices, either bought or sold, agree with arrangements I have made with my Dealer.
- I understand that precious metals investments are received by, stored at, and delivered from a depository. Annual fees are due for this storage, and neither GoldStar nor the depository, is responsible for purity, weight, metal content, or authenticity of any coins or bars.
- I understand that precious metals shall be stored at one of the depository options chosen by me. I agree that if I do not indicate a depository, Delaware Depository will be the default depository. I understand and direct GoldStar to open the storage account in accordance with my elected storage method, Commingled or Segregated, with the depository I have selected. I also understand and agree that if I do not indicate a storage option, Commingled Storage will be the default storage option.
- Commingled: Investment choice whereby a storage firm maintains a client's precious metals in bulk by like kind. In other words, the precious metals received at time of sale or in-kind distribution will be of like kind, but not guaranteed to be the exact coins or bars originally bought. Segregated: Investment choice whereby a storage firm maintains a client's precious metals assets separately from all commingled assets held by other investors. Traditionally, the assets are held in an individual storage box or vault, depending on the size of the investment, assigned to each client. In other words, the precious metals you purchase are the exact physical allotments of gold, silver, platinum, and palladium that are protected in your storage space.

**Specific to Publicly Traded Securities:**

- All security orders are placed as Market Orders. A Market Order is used to buy or sell a security promptly at the best available price. A Market Order typically increases the likelihood of a fill and the speed of execution, yet the last traded price is not necessarily the price at which the order will be executed. In fast-moving or illiquid markets, the price at which an order is executed could be significantly lower or higher than the last traded price, especially during periods of high market volatility for securities with volatile trading prices. GoldStar will place your order up to the dollar amount listed on this form, rounded down to the nearest possible price.
- I agree to indemnify GoldStar for any and all payments or assessments which may result from holding the investment within my Account, and I understand that GoldStar shall be under no obligation whatsoever to extend credit to my Account or otherwise disburse payment beyond the cash balance of my Account for any payment or assessment related to the Investment.
- I understand that GoldStar is acting solely as a passive custodian to hold Account assets and in no other capacity, an affiliate may receive a commission in connection with the unsolicited purchase or sale of a publicly traded security. GoldStar has no responsibility to question any investment directions given by me or any appointed financial representative.

The foregoing representations are true and accurate, as of the date hereof, and shall be true and accurate as of the date of delivery of the funds to the Investment and shall survive such delivery. If in any respect, such representations and warranties shall not be true and accurate prior to delivery of the funds to the investment, I shall give written notice of such fact to GoldStar, specifying which representations are not true and accurate and the reasons thereof.

I acknowledge that GoldStar will not exercise any discretion, assume any fiduciary responsibility, perform a due diligence review, or undertake any investigation as to the prudence, viability, merits, or suitability of the investment. I acknowledge my understanding that GoldStar is not a "fiduciary", or a person entitled to exercise any discretionary authority with respect to the investment, as those terms and concepts are defined in applicable federal, state, or local laws. I agree to hold GoldStar harmless from any liability for any loss, damage, injury, or expense (including reasonable attorney's fees) which may occur as a result of the execution of this Investment Direction.